



# Osteopathic manual therapy (OMT) in treatment of gastroesophageal reflux disease (GERD)

## Abstract

**Background:** Osteopathic Manual Therapy (OMT) is a non-pharmaceutical and none-invasive treatment, designed to treat Gastroesophageal Reflux Disease (GERD). The aims were to determine a Minimum Efficacy Dose (MED) and the long-term effect of OMT in the treatment of GERD.

**Materials and method:** The study was performed as a two-dimensional, between-patient Response Surface Pathway (RSP) designed multicenter study with "Number of OMTs" and "Treatment Interval" as interventional variables. The main response variable was the percent reduction in the sum of the five GERD-scores from baseline. Three patients on the first design level received six OMT with five days' interval. The number of OMTs and treatment interval in the second (n=5) and third design levels (n=7) were based on the results of the previous design level. The mean age and duration of GERD were 50.2 and 10.9 years, ranging from 25.7 to 75.7 years and 0.2 to 36.3 years, respectively.

**Results:** The percent reduction in GERD-score increased with increasing number of OMTs and time intervals, but flattered out after four OMTs and three to four days treatment interval. The estimated MED of OMT was three treatments with two days interval resulting in a GERD-score reduction of 62.2% (95% CI: 50.3-80.1%). All the five GERD-symptoms and consequently the mean GERD-score were significantly reduced after the first two OMTs, one week, three and 12 months after the last treatment ( $p < 0.01$ ). One week after last OMT, the GERD-score reduced with 75% and 33% symptoms free patients. At one-year follow-up, the reduction was 63.3% with 46.7% had no GERD-symptoms.

**Conclusion:** Anti-reflux OMT significantly decreases the GERD-symptoms and the use of PPI. 46.7 percent of the patients had no GERD symptoms one year after treatment. The estimated MED of OMT was three treatments with two days (48 hours) interval.

**Keywords:** Gastroesophageal reflux disease (GERD), osteopathic manual therapy (OMT), patient

## Introduction

Gastroesophageal Reflux Disease (GERD) has an obvious negative impact on Quality Of Life (QOL). The prevalence is increasing worldwide [1-3] and may even be reflected in the economy of the society [4,5]. Today, the most commonly used class of drugs for GERD is Proton Pump Inhibitors (PPI). This approach is not curative and frequently requires continuous medication. In addition, patients are advised to make lifestyle changes, which may reduce the symptoms of reflux. The only approved curative treatment today is surgical procedures such as fundoplication. Surgery is mainly used in patients with severe GERD-symptoms often combined with insufficient PPI effect. However, surgery is invasive very difficult to reverse and carries a risk for serious adverse effects.

A special designed anti-reflux OMT-procedure combining traction of the cardia, mobilization of the diaphragm and thoracic spine with posture correction was applied in a study of 22 endoscopically examined GERD patients [6]. A postural correction consisted of an extension of column, carried out daily by the patient. The patient was supine positioned on a soft pillow for 15 minutes, which was located in the thoracic-lumbar region. A total osteopathic examination was conducted. Areas in the body that could affect the lesion region were manually treated. The cardia region may be influenced by viscerosomatic reflexes or mechanical tensions in the diaphragm area. Treatment techniques performed were adapted to each patient, as described in the osteopathic literature [7]. The reduction in the total number

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of GERD-symptoms was significant, and “moderate” or “good” effect was detected in 77.3% of the patients. However, the lack of controls reduced the strength of these results. Recently a randomized, double-blinded, and placebo-controlled study in 58 patients of OMT in the treatment of GERD was published [8]. All the GERD-symptoms were significantly reduced one week after the last treatment in the OMT group (n=44) compared to no reduction in the control group (n=14). Twelve percent of the OMT patients were symptom-free, and 75% reported above a 50% reduction in symptoms. “Acid in the mouth” and “Heartburn” was reduced by 81%. The OMT-procedure was well described [6,8], but neither the OMT dose nor the long-term effect was clarified. The dose of OMT was defined as the number of OMTs applied and the interval between each treatment needed to obtain a qualified reduction in the symptoms.

The main aim of this study is to determine a Minimum Efficacy Dose (MED) of OMT by assessing the GERD-symptoms one week after the last treatment. Furthermore, the effects on GERD-symptoms, GERD-score, and use of PPI three and twelve months after treatment were assessed.

## Material and Methods

The study population consisted of GERD patients, passed the age of 18 years. Patients with hiatus hernia  $\geq 5$  cm and patients suffering from gastric ulcer, cancer and uncontrolled bacterial, viral, fungal or parasite infection were excluded. The patients were recruited from and treated at five Norwegian osteopathic clinics with Halden in south, Bergen in west, Hønefoss in east, Trondheim in the mid and Alta in north. The study was approved by the regional ethical committee, and the patients gave informed consent to participate. Ten female and five male GERD patients were included [9]. The mean and range of age were 50.2 years (25.7 to 75.7), duration of disease 10.9 years, (0.2 to 36.3) and body-mass-index 24.3 kg/m<sup>2</sup> (17.6-37.7). Thirteen patients responded on PPI with moderate to good effect. One patient had no effect of PPI and one had severe Adverse Events (AE) and did not use PPI at the time of inclusion in the study. Additionally, one patient had previously received acupuncture with moderate effect and one received alternative treatment

with good effect. Two patients did not use any medication or received other GERD-treatment prior or during the study. Six patients reported muscular and skeleton disease whereof one received osteopathic treatment. Seven patients had no concomitant disease.

The study was conducted as a two-dimensional, randomized between-patient RSP three-level designed multicentre trial [9,10] with “Number of OMT’s” and “Interval between the treatments” as intervention variables. The degree of “Heartburn”, “Chest pain”, “Acid in the mouth”, “Epigastric pain” and “Thoracic pain” were recorded by the patients on a 10 cm Visual Analogue Scale (VAS) [11]. Registration of the five GERD-symptoms was performed by the patients at baseline, before every new treatment, one week, three and 12 months after the last treatment. The sum of the five GERD-symptoms and percent reduction from baseline to one week after the last OMT was the main response. Patients obtaining a reduction of at least 50% were classified as a responder to the treatment. As secondary variables “Sleeping problem”, “Digestive problem” and “Vomiting” were recorded by the patients at all visit by using VAS. Additionally, the use of PPI and other reflux treatment were recorded.

*Statistical analysis:* Continuously distributed variables were expressed by mean values with 95% Confidence Interval (CI) [12]. As an index of dispersion, Standard Deviations (SD) was given. Categorized variables were expressed in contingency tables [13]. The development in an intervention variable was performed by using polynomial regression analysis with the other intervention variable as a covariate [14]. The percent reduction in the sum of GERD-symptoms assumed ordinal in both the two interventional variable and the probability increases monotonically over the interventional levels. Isotonic regression was used for the estimation of MED [15-17].

## Results

The sum of GERD-symptoms fell significantly ( $p < 0.01$ ) from baseline until four OMTs and was stabilized (**FIGURE 1A**). Additionally, the sum of symptoms was significantly reduced with increasing duration between the OMTs until an interval of three to four days (**FIGURE 1B**). The MED of OMT in The treatment of GERD was estimated to three OMT’s with an interval of two days or 48 hours,

the estimated percent reduction of GERD-symptoms was 62.2% (95% CI: 50.3-80.1%).

The mean sum of GERD-symptoms was significantly reduced after the first two OMTs ( $p < 0.01$ ), and one week, three months and one year after the last OMTs (FIGURE 2). One week after the last OMT, the sum of GERD-symptoms fell with 75%. Thirteen of the 15 patient had a reduction above 50% and hence classified as responders. Five of the patients or

33% had no GERD-symptoms (TABLE 1). The mean reduction in GERD-symptoms was nearly stable the following three months after the last OMT with 11 patients as responders and the fraction of patients with no GERD-symptoms increased to 46.7%. One year after the last OMT, the mean reduction in GERD-symptoms was 63.3%. Ten of the 15 patients were classified as responders to the treatment, and the number of symptom-free patients was unchanged from the three months follow-up.

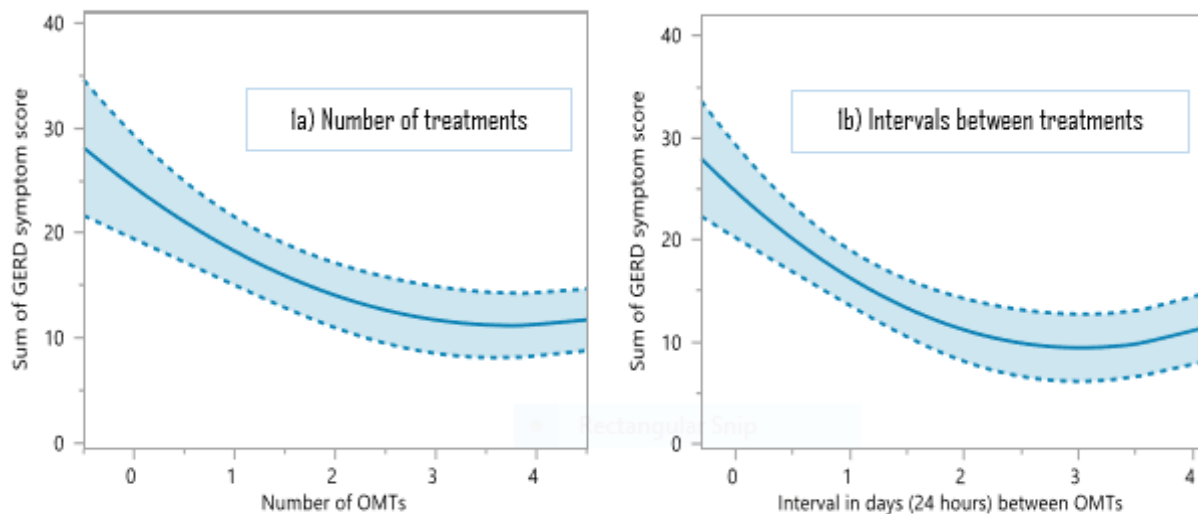


FIGURE 1. Sum of GERD-symptoms as a function (A) of treatment number corrected for treatment interval in days and (B) of interval durations corrected for a number of treatment. The shaded area shows the 95% confidence boundary.

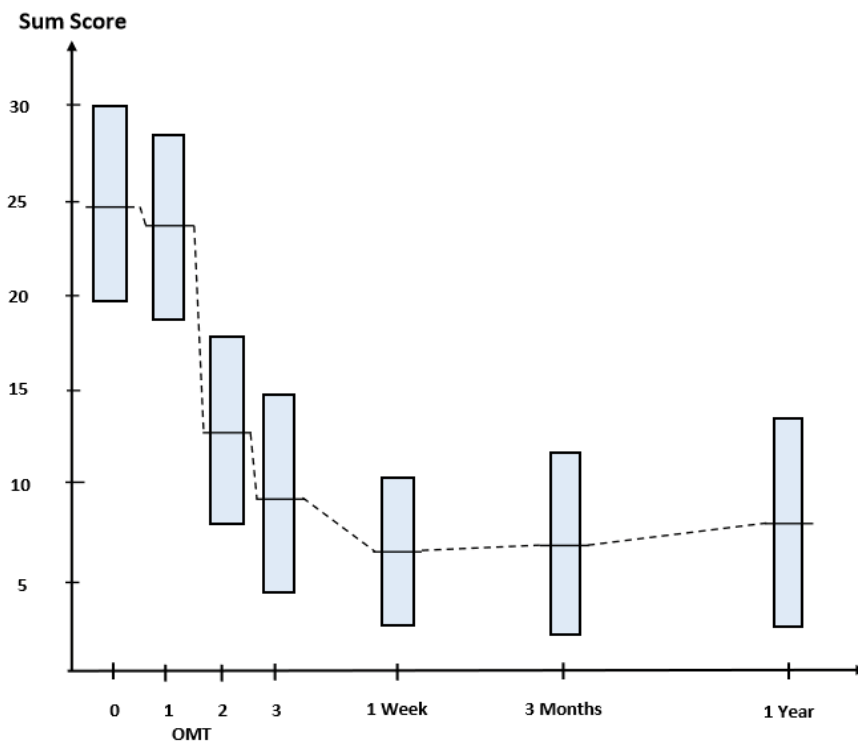


FIGURE 2. Development in degree of sum GERD-symptoms in number of OMTs during the treatment and one, week, three months and one year after last OMT. The results expressed by mean values with 95% Confidence Intervals. The blue columns indicate the confidence intervals and the horizontal line crossing the columns shows the mean values.

The reduction in mean percent responder was due to two patients obtaining other diseases after three months follow-up (FIGURE 2), which indirectly resulted in the return of the GERD-symptoms. By leaving these two patients out from the analysis, the reduction was 77.4% and 53.8% was symptom-free after one year.

The five GERD-symptoms were all significantly reduced above 56% one week after the last OMT (TABLE 2). The largest reduction detected in "Thoracic pain" with 84.2% and the smallest in "Acid in the mouth" (TABLE 1). At three months follow-up, all the five GERD-symptoms were reduced with at least 60%. The symptom with the largest reduction was "Epigastric pain" with 80.4% and the smallest obtained in "Heartburn" (TABLE 1). The situation was nearly unchanged one year after the last OMT. Despite the two patients obtaining other disease influencing GERD, all symptoms were significantly reduced from baseline ( $p < 0.01$ ) with more than 50%. The largest reduction was detected in "Heartburn"

with 65.5% and smallest in "Thoracic pain".

Of other symptoms related to GERD, "Sleeping problems" was reported at baseline by nine patients, "Digestive problems" by eight and "Vomiting Problem" by five patients (TABLE 3). One week and three months after last OMT, two patients reported "Sleeping problems" and "Vomiting Problem". After one year, four respectively two patients reported these two symptoms, respectively. All the five patients reporting "Digestive problems" at baseline were free of the symptom one week, three months and one year after the last OMT. The degree of "Sleeping problems" was reduced with 89.1%, 84.9%, and 56.0% one week, three months and one year after last OMT, respectively (TABLE 3). The degree of "Vomiting Problem" was reduced by 77.3% one week after last OMT and 80.9% and 92% at three months and one-year follow-up. The GERD-related symptoms were reported by 12 patients at baseline but only by two patients one week after the last OMT, three patients at three months follow-up and five

**TABLE 1. Response classification one week, three months and one year after the last OMT. The number of patient with the different GERD symptom at baseline is given in brackets. The results expressed in number of patient within each percent reduction category.**

% reduction from baseline	GERD Symptoms (No. of patients)	<50%	>50-75%	>75-95%	≥ 95%
One week after the last OMT	Heartburn (12)	4	3	2	3
	Chest Pain (14)	4	1	3	6
	Acid in mouth (12)	5	2	0	5
	Epigastric Pain (13)	4	2	2	5
	Thoracic Pain (11)	1	3	2	5
	<b>Sum GERD symptoms</b>	<b>2</b>	<b>4</b>	<b>4</b>	<b>5</b>
Three months after the last OMT	Heartburn (12)	4	1	1	6
	Chest Pain (14)	4	0	1	9
	Acid in mouth (12)	4	2	0	6
	Epigastric Pain (13)	1	4	2	6
	Thoracic Pain (11)	4	1	0	6
	<b>Sum GERD symptoms</b>	<b>4<sup>1</sup></b>	<b>3</b>	<b>1</b>	<b>7</b>
One year after the last OMT	Heartburn (12)	3	0	1	8
	Chest Pain (14)	5	1	1	7
	Acid in mouth (12)	4	0	0	8
	Epigastric Pain (13)	3	2	3	5
	Thoracic Pain (11)	5	0	0	6
	<b>Sum GERD symptoms</b>	<b>5<sup>2</sup></b>	<b>1</b>	<b>2</b>	<b>7</b>

**TABLE 2. Reduction in the five GERD symptoms from baseline to one week, three months and one year after last OMT. The results expressed by mean values and 95% confidence intervals in bracket.**

GERD Symptom assessed on a 10cm VAS	No. of pat.	Reduction in degree of symptoms after last OMT		
		One week	Three months	One year
Heartburn	12	3.7 (1.6-5.8)	4.0 (1.7-6.3)	4.5 (1.9-7.1)
Chest Pain	14	4.4 (2.7-6.1)	4.8 (2.8-6.9)	3.9 (1.7-6.1)
Acid in mouth	12	2.6 (1.3-4.7)	3.3 (1.6-5.0)	3.3 (1.1-5.5)
Epigastric Pain	13	4.8 (2.8-6.8)	5.2 (4.0-6.5)	4.5 (2.1-6.9)
Thoracic Pain	11	5.5 (4.1-6.6)	4.4 (2.0-6.8)	3.8 (0.7-6.8)

**TABLE 3. Reduction in degree of “Sleeping problem”, “Digestive problem” and “Vomiting” one week, three months and one year after the last OMT. The results expressed by mean values with 95% confidence intervals.**

GERD related Symptom assessed on a 10cm VAS	No of patients	Reduction in degree of related symptoms after last OMT		
		One week	Three months	One year
Sleeping problems	9	4.6 (2.3-6.8)	4.1 (2.0-6.2)	2.8 (0.0-5.6)
Digestive problems	5	3.8 (0.0-7.8)	3.8 (0.0-7.8)	3.8 (0.0-7.8)
Vomiting problems	8	4.3 (1.9-6.6)	3.4 (1.8-5.0)	4.4 (2.4-6.3)
Sum related symptoms	12	7.4 (4.2-10.7)	6.9 (3.8-10.1)	5.7 (1.3-10.0)

**TABLE 4. Reduction in use of anti-reflux treatment after last OMT.**

Visit	Frequency	Baseline			
		None	When needed	Daily used	Total
One week after last OMT	None	2	4	4	10
	When needed	0	2	1	3
	Daily used	0	0	2	2
	Total	2	6	7	15
Three months after last OMT	None	2	4	3	9
	When needed	0	0	3	3
	Daily used	0	2	1	3
	Total	2	6	7	15
One year after last OMT	None	2	5	3	10
	When needed	0	1	0	1
	Daily used	0	0	4	4
	Total	2	6	7	15

patients after one year. (TABLE 3).

The use of PPIs reported by the patients was significantly ( $p < 0.01$ ) reduced from baseline to one week, three and 12 months after last OMT (TABLE 4). Two patient did not use PPI due to lack of efficacy and severe AE neither at baseline nor during the study and follow-up. One week after last OMT only two patients permanently used PPI and eight patients have stopped all anti-reflux treatment. Three patients reporting daily use of anti-reflux treatment at three months follow-up and further to four one year after the last OMT. At three months follow-up, seven patients had stopped anti-reflux treatment and eight after one year.

## Discussion

The present study supports the results from both our previous publications [6,8] and others [18]. The sum of GERD-symptoms reduces significantly already after two treatments and increasing with increased numbers of OMTs. However, the effect flattered out after three to four treatments indicating that most of the OMT effect then is obtained. In the papers describing the anti-reflux OMT procedure, the number of OMTs varied from two to 10, but in the placebo-controlled study, the number of treatments was standardized to three [6,8]. This seems to be nearby the optimal number.

The prevalence of responders to OMT one week after last treatment in this study [8] was 75% compared to 28.6% in the placebo group. This is identical to the response rate obtained in the present study in which the number of treatments varied from two to six. In the two previous studies, the patients were followed only one week after the last OMT, compared to the present study in which the patients were followed up with new investigation first three months and finally 12 months after the last OMT. If the effect has disappeared only a short time after the end of treatment, this results would not have been more than interesting. However, the effect was nearly unchanged after three and 12 months. In the group of patients classified as a responder to OMT, the sum of GERD symptoms was reduced with 75%, 70%, and 63% one week, three and 12 months after the last treatment, respectively. However, the prevalence of symptom-free patients increases from 33% one week after the last OMT to nearly 50% one year later.

The duration between each OMT treatment is central in Osteopathy. It is stated that the body has to recover between each intervention [19]. In the previous GERD-studies [6,8] this factor has varied from one to two weeks. However, the present study indicated that this duration should be substantially smaller. The MED was estimated to three OMTs with a duration between each



treatment of only two days. Additionally, the effect faded out with an interval between three and four days. This may support that anti-reflux OMT acts by obtaining an anatomical change in the region of the Lower Esophageal Sphincter (LES). The patients included in this study had a long duration of GERD and desired anatomical change may be difficult to obtain by anti-reflux OMT. By using a too long interval between each treatment, LES will return to the previous situation before the next OMT and the effect will disappear.

All the five GERD-symptoms were significantly reduced one week, three and 12 months after the last OMT. The reduction in "Heartburn" and "Acid in the mouth" was most pronounced from one week after the last treatment to three and 12 months follow-up. "Epigastric pain" and "Chest pain" were nearly unchanged but "Thoracic pain" was slightly reduced. As stated above, the patients included in this study had suffered from GERD over several years. Even if the treatment, in general, prevents the reflux of acid gastric juice, the healing of the esophageal damage takes time.

PPI and H<sub>2</sub>-antagonists act by increasing the pH of the gastric juice, thus alleviating the damage of the oesophageal mucosa in GERD. However, the underlying cause of reflux will not be corrected, and most patients will need continuous medication for control of GERD-symptoms. The present study detected a significant reduction in the use of PPI one week, three and 12 months after the last OMT. Among the patients previously using PPI, 67.5% did not use such medication one year after the study.

GERD is a common multifactor disorder

due to a defective sphincter mechanism at the esophagus-gastric junction [1]. In addition to other gastrointestinal disorder, lifestyle-related diseases, musculoskeletal disorders, asthma, fatty liver disease, and chronic diseases are among those reported to be at risk of GERD or GERD symptoms [2,3,20-22]. The mechanism of action of the anti-reflux OMT is mainly directly related to LES. It might additionally be related to musculoskeletal disorders, but more doubtfully to other diseases giving GERD symptoms.

The only approved potentially curative GERD-treatment today is surgical procedures such as fundoplication. It is a very interesting finding of the present study that OMT might have a curative effect at least on a subpopulation of GERD patients. However, some caution should be taken due to the small number of patients.

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## Conclusion

Anti-reflux OMT significantly decreases the GERD-symptoms and the use of PPI. 46.7 percent of the patients had no GERD symptoms one year after treatment. The estimated MED of OMT was three treatments with two days (48 hours) interval.

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